

Patent Application Attorney Docket No. 26346-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

John B. Harvey

Serial No.:

09/939,516

Confirmation No.:

2442

Filing Date:

August 24, 2001

For:

SPLIT SLITTER

Examiner:

Group Art Unit:

3724

I hereby certify that the following documents:

- (1)
- (2)
- (3)
- CERTIFICATE OF MAILING BY FIRST CLASS MAIL

 (37 CFR § 1.8(a))

 Coupling that the following documents:

 Return Receipt Postcard;

 Transmittal Letter (in duplicate), 2 pages;

 Fee Transmittal, Form PTO/SB/17 (in duplicate), 1 page;

 Petition for Extension of Time, Form PTO/SB/22 (in duplicate), (4) 1 page;
- (5) Notice to File Missing Parts (copy), 1 page;
- (6) Declaration, Form PTO/SB/01, 2 pages;
- Power of Attorney, Form PTO/SB/81, 1 page; and (7)
- Check No. 50645 in the amount of \$490.00 (8)

related to the above-referenced patent application are being deposited herewith with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Box Missing Parts, Assistant Commissioner for Patents, Washington, DC 20231 on December 28, 2001.

Date: December 28 2001

Joseph W. Byrne

Reg. No. 43,522

BOARDMAN, SUHR, CURRY & FIELD LLP 1 South Pinckney Street, Fourth Floor

P.O. Box 927

Madison, WI 53701-0927 Phone: 608-257-9521

Fax:

608-283-1709

@PFDesktop\::ODMA/WORLDOX/F:/DOCS/WD/26346/1/FCCERT1.WPD

Certificate of Mailing by First Class Mail

Page 1 of 1

Patent Application Attorney Docket No.: 26346-1

§ 1.16(a), the surcharge (\$65.00) set forth in 37 CFR § 1.16(e) and the petition fee (\$55.00) set forth in 37 CFR § 1.17(a)(1).

The Commissioner is hereby authorized to charge any deficiency or credit any overpayment in any required fees to deposit account no. 02-2850. For this purpose, a duplicate of this transmittal letter is attached.

Please date-stamp the enclosed, stamped and self-addressed postcard receipt to acknowledge receipt of this transmittal letter along with the accompanying Declaration, Petition, Power of Attorney, the check and any other items listed on the postcard receipt and then mail the postcard receipt back to me.

Respectfully submitted,

Joseph W. Byrne

Registration No. 43,522

Dated: December 28, 2001

BOARDMAN, SUHR, CURRY & FIELD LLP 1 South Pinckney Street, Fourth Floor P.O. Box 927

Madison, WI 53701-0927 Phone: 608-257-9521 Fax: 608-283-1709

@PFDesktop\::ODMA/WORLDOX/F:/DOCS/WD/26346/1/TRANS1.WPD

CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR \$1.8(a))

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Box Missing Parts, Assistant Commissioner for Patents, Washington, DC 20231 on December 28, 2001.

Date.

December 28, 2001

Joseph W. Byrne Reg. No. 43,522

Transmittal Letter

Page 2 of 2



COPY OF PAPERS ORIGINALLY FILED



Patent Application Attorney Docket No.: 26346-1

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

John B. Harvey

Serial No.:

09/939,516

Confirmation No.:

2442

Filing Date:

August 24, 2001

For:

SPLIT SLITTER

Examiner:

Group Art Unit:

3724

VIA FIRST CLASS MAIL

Box Missing Parts Assistant Commissioner for Patents Washington D.C. 20231

TRANSMITTAL LETTER

Dear Sir:

The following items are enclosed:

In response to the Notice to File Missing Parts dated September 28, 2001, enclosed herewith is a fully executed Declaration:

A Petition for Extension of Time Under 37 CFR § 1.136(a) requesting a one month extension for filing the response to the Notice to File Missing Parts. If additional extensions of time are required, consider this a petition therefor;

A Power of Attorney executed by the inventor; and

A check totaling the amount of \$490.00 is enclosed to cover the basic statutory filing fee (\$370.00) set forth in 37 CFR

Transmittal Letter

Page 1 of 2

PTO/SB/17 (11-01)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE o a collection of information unless it displays a valid OMB control number.

Complete (if applicable)

Telephone

Date

(608 257-9521

December 28, 2001

09/939,516

August 24, 2001

Complete if Known

TRANSMIT for FY 2002

Patent fees are subject to annual revision.

(\$)

✗ Applicant claims small entity status. See 37 CFR 1.27 490.00

TOTAL AMOUNT OF PAYMENT

SUBMITTED BY

Name (Print/Type)

Signature

| First Named Inventor | or John B. Harvey | | | | |
|----------------------|-------------------|--|--|--|--|
| Examiner Name | | | | | |
| Group Art Unit | 3724 | | | | |
| Attorney Docket No. | 26346-1 | | | | |

Application Number

Filing Date

| METHOD OF PAYMENT (check all that apply) | | | | | FEE CALCULATION (continued) | | | | | |
|--|---|--|----------------|------------|-----------------------------|--------|---|--|--------------|--|
| Check Credit card Money Other None | | | | | 3. ADDITIONAL FEES | | | | | |
| | | Order | | Large | Large Entity Small Entity | | | | | |
| Deposit | Account: | 02-2850 | | Fee Cod | Fee | Fee | Fee e (\$) | Fee Description | Fee Paid | |
| Account Number | | | | 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | 65.00 | |
| Deposit Account Name | count Boardman, Sunt, Curry & Field LLF | | | | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | | |
| The Commissioner is authorized to <u>Ichack all that apply</u>) | | | | | 130 | 139 | 130 | Non-English specification | | |
| harge fee(s) indicated below Credit any overpayments | | | | | 2,520 | 147 | 2,520 | For filing a request for ex parte reexamination | , | |
| harge any additional fee(s) during the pendency of this application harge fee(s) indicated below, except for the filing fee | | | | | 920* | 112 | 920* | Requesting publication of SIR prior to | | |
| — · | entified deposit | • | iming ree | 443 | 1 0 4 0 • | 112 | 1 040* | Examiner action | 5 | |
| | | ALCULATION | | 113 | 1,840* | 113 | 1,040 | Requesting publication of SIR after Examiner action | w 55.00 | |
| 1. BASIC F | | | | 115 | 110 | 215 | 55 | Extension for reply within first month | - I- | |
| Large Entity | | | | 116 | 400 | 216 | 200 | Extension for reply within second month | 8 7 | |
| Fee Fee | Fee Fee | Fee Description | Fee Paid | 117 | 920 | 217 | 460 | Extension for reply within third month | 3 2 | |
| Code (\$) 101 740 | Code (\$) 201 370 | Utility filing fee | | 118 | 1,440 | 218 | 720 | Extension for reply within fourth month | 7 | |
| 106 330 | 206 165 | Design filing fee | 370.00 | 128 | 1,960 | 228 | 980 | Extension for reply within fifth month | 2002 | |
| 107 510 | 207 255 | Plant filing fee | | 119 | 320 | 219 | 160 | Notice of Appeal | 공력 | |
| 108 740 | 208 370 | Reissue filing fee | | 120 | 320 | 220 | 160 | Filing a brief in support of an appeal | ROOM | |
| 114 160 | 214 80 | Provisional filing f | ee | 121 | 280 | 221 | 140 | Request for oral hearing | 3 | |
| SUBTOTAL (1) (5) 370.00 | | | | | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | | |
| σουτοτή2(τ) (φ) | | | | | 110 | 240 | 55 | Petition to revive - unavoidable | | |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE | | | | | 1,280 | 241 | 640 | Petition to revive - unintentional | | |
| | | Extra Claims | Pelow Fee Paid | 1 | 1,280 | | 640 | Utility issue fee (or reissue) | - | |
| Total Claims Independent | 19 -20 | - ===================================== | 9.00 = 0.00 | 143 | 460 | 243 | 230 | Design issue fee | | |
| Claims | 3 - 3. | " = <u>0</u> | 2.00 = 0.00 | 144 | 620 | 244 | 310 | Plant issue fee | | |
| Multiple Deper | ndent | <u> </u> | | 122 | 130 | 122 | 130 | Petitions to the Commissioner | | |
| | | | | 123 | 50 | 123 | 50 | Processing fee under 37 CFR 1.17(q) | | |
| Large Entity Fee Fee | Small Entity Fee Fee | Fee Description | an. | 126 | 180 | 126 | 180 | Submission of Information Disclosure Stmt | | |
| Code (\$) 103 18 | Code (\$) 203 9 | Claims in excess | of 20 | 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | | |
| 102 84 | 202 42 | Independent clair | | 146 | 740 | 246 | 370 | Filing a submission after final rejection (37 CFR § 1.129(a)) | | |
| 104 280 109 84 | 204 140 209 42 | Multiple depende ** Reissue indep over original pa | | 149 | 740 | 249 | 370 | For each additional invention to be examined (37 CFR § 1.129(b)) | | |
| 110 18 | 210 9 "Reissue claims in excess of 20 | | 179 | 740 | 279 | 370 | Request for Continued Examination (RCE) | | | |
| | 1 2.0 3 | and over original patent | | | 900 | 169 | 900 | Request for expedited examination of a design application | | |
| | SIIS | STOTAL (2) | (\$) 0.00 | Othe | r fee (s | pecify |) | | L | |
| | | 2101AL (2) | | •Pod | ucod b | , Baci | c Eilina | Fee Paid SUBTOTAL (3) (\$) | 120.00 | |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Joseph W. Byrne

Registration No.

(Attorney/Agent)

43,522

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer. U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.







COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/939,516

08/24/2001

John B. Harvey

26346-1

CONFIRMATION NO. 2442

22493 BOARDMAN, SUHR, CURRY & FIELD LLP ATTN: IP PRACTICE GROUP 1 SOUTH PINCKNEY STREET, FOURTH FLOOR

P.O. BOX 927 MADISON, WI 53701-0927 FORMALITIES LETTER

OC0000000006811569

Date Mailed: 09/28/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 355 to complete the basic filing fee for a small entity.
- o The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 420.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

INELYEUVE VINGEMEN VOOCUUST VERSHELS

970.00 GF 45.00 G